

03/02/99



jc376 U.S. PTO

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

jc549 U.S. PTO

09/26/068



03/02/99

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of:

Inventor(s): **Vijay Mahant & Byron Doneen**

For (title): **Methods and Apparatus for Separation of Biological Fluids**

1. Type of Application

This application is a(n):

☒ Non-Provisional (37 CFR §1.53(b))

☒ Original

☐ Continuation

☐ Divisional

☐ Continuation-in-part

☐ Continued Prosecution Application (37 CFR § 1.53(d))

☐ Continuation

☐ Divisional

☐ of parent application serial no. _____ filed

_____ which claims priority to provisional

application serial no. _____ dated _____.

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as Express Mail, with Express Mailing Label No. EL228561584US, in an envelope addressed to the Commissioner of Patents and Trademarks, Box New Application, Washington, DC 20231.

Collene Houston
Collene Houston

Dated: 3/2/99

2. **Benefit of Prior U.S. Application(s) (35 USC 120)**

 X None
 of co-pending parent application referenced above.
 of co-pending provisional application serial no. _____, filed
_____.

3. **Filing Papers**

 X Papers Enclosed Which Are
 15 Pages of Specification
 2 Pages of Claims
 1 Pages of Abstract
 2 Sheets of drawing
 formal
 X informal
 in triplicate

____ Enclosed is a copy of the latest inventor-signed prior application, including a copy of the oath or declaration showing the original signature or an indication it was signed. I hereby verify that the papers are a true copy of the latest signed prior application number _____, and further that all statements made herein of my own knowledge are true and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

____ Please use all the contents of the prior application file wrapper, including the drawings (if any), as the basis papers for the new application.

___ Please amend the new application by inserting the following sentence at the beginning of the specification:

This application is a ___ continuation ___ continuation-in-part ___ divisional of co-pending application serial no. _____ filed _____, (status, abandoned, pending etc.)

___ Please abandon the parent application at a time when the parent application is pending or when the petition for extension of time or to revive in that application is granted and when this application is granted a filing date so as to make this application copending with said parent application. At the same time please append the words "now abandoned" to the amendment to the specification set forth above.

4. Declaration or oath and power of attorney

X Enclosed

executed by (check **all** applicable boxes)

X inventor(s).

___ legal representative of inventor(s). 37 CFR 1.42 or 1.43

___ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached.

___ this is the petition by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached. See item 13 below for fee.

___ Not enclosed. /

___ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all the above-named inventor(s). The declaration and oath, along with the surcharge required by 37 CFR 1.16(e) will be filed subsequently.

___ Is contained in the parent application.

5. **Additional papers enclosed**

- ☒ An assignment of the invention to Qualisys Diagnostics, Inc.
☒ is attached. ☒ Assignment recordal attached.
_____ was filed on _____, copy attached.
_____ Preliminary Amendment
_____ Information Disclosure Statement
_____ Form PTO-1449
☒ Small Entity Statement
_____ Declaration of Biological Deposit
_____ Authorization of Attorney(s) to Accept and Follow Instructions from Representative
_____ Special Comments
_____ Other

6. **Inventorship Statement**

The inventorship for all the claims in this application are:

- ☒ the same
_____ not the same. An explanation, including the ownership of the various claims at the time the **last** claimed invention was made,
_____ is submitted.
_____ will be submitted.

7. **Language**

- ☒ English
_____ non-English
_____ the attached translation is a verified translation. 37 CFR 1.52(d).

8. Certified Copy

Certified copy(ies) of application(s)

_____	_____	_____
(country)	(appln. no.)	(filed)
_____	_____	_____
(country)	(appln. no.)	(filed)

from which priority is claimed

_____ is(are) attached

_____ will follow.

9. Request for International-Type Search (37 CFR 1.104(d))

_____ Please prepare an international-type search report.

10. Fee Calculation

X	Small Entity. Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is(are) either attached or already on file.					
Basic Fees	Filed	Base	Extra	Rate Large	Rate Small	Fee
Utility Patent				\$760.00	\$380.00	\$ 380.00
Total Claims	21	20	1	\$18.00	\$9.00	\$ 9.00
Indep. Claims	1	3	0	\$78.00	\$39.00	\$.00
Multiple Dependent Claims	Yes			\$260.00	\$130.00	\$ 130.00
Provisional Patent				\$150.00	\$75.00	\$.00
Design Patent				\$310.00	\$155.00	\$.00
Subtotal						\$ 519.00

Extension Fees		Large Entity	Small Entity	Fee
-	one month extension	\$110.00	\$55.00	\$.00
-	two month extension	\$380.00	\$190.00	\$.00
-	three month extension	\$870.00	\$435.00	\$.00
-	four month extension	\$1,360.00	\$680.00	\$.00
Subtotal				\$.00
Miscellaneous Fees		Rate		Fee
-	recording assignment (37 CFR 1.21(h)(1))	\$40.00		\$ 40.00
-	fee for international-type search report (37 CFR 1.21(e))	\$40.00		\$.00
-	fee for petition to make application special	\$130.00		\$.00
Subtotal Miscellaneous				\$ 40.00
Totals				
Subtotal Basic Filing Fees From Above				\$ 519.00
Subtotal Extension Fees				\$.00
Subtotal Miscellaneous				\$ 40.00
Total Fees				\$ 559.00

11. Fee Payment Being Made At this Time

☒ Enclosed
☐ Not Enclosed. No filing fee is to be paid at this time. (This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.)

12. Method of Payment of Fees

☒ Check no. 7294 in the amount of \$559.00
☐ Charge Account No. 500-341 in the amount of \$.00.

13. Authorization to Charge Additional Fees

X The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to Account No. 500-341


- x 37 CFR 1.16(a) or (g) (filing fees)
- x 37 CFR 1.16(b), (c) and (d) (presentation of extra claims)
- x 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
- x 37 CFR 1.17 (application processing fees)
- x 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

14. Instructions As To Overpayment

X credit Account No 500-341.
___ refund

CROCKETT & FISH

Dated: February 25, 1999

By 
ROBERT D. FISH
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